# **Cache Memories**

COMP400727: Introduction to Computer Systems

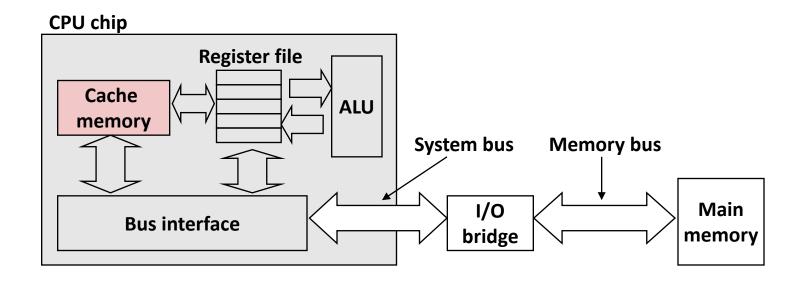
Danfeng Shan
Xi'an Jiaotong University

# **Today**

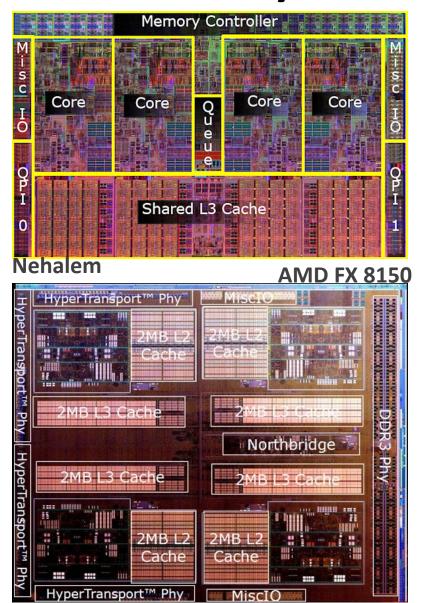
- Cache memory organization and operation
- Performance impact of caches
  - The memory mountain
  - Rearranging loops to improve spatial locality
  - Using blocking to improve temporal locality

## **Cache Memories**

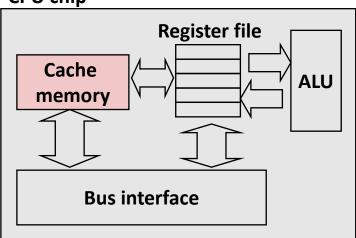
- Cache memories are small, fast SRAM-based memories managed automatically in hardware
  - Hold frequently accessed blocks of main memory
- CPU looks first for data in cache
- Typical system structure:

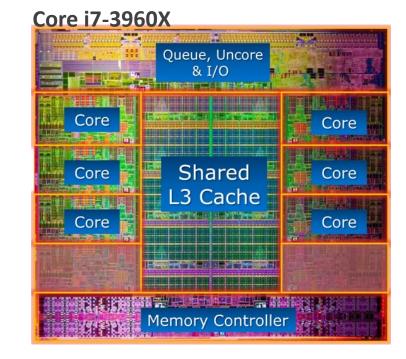


# What it Really Looks Like

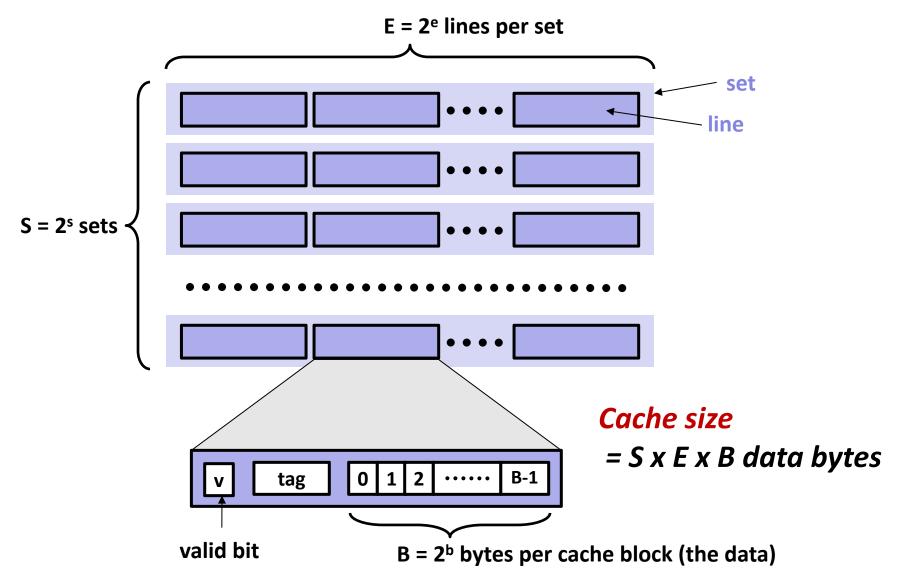


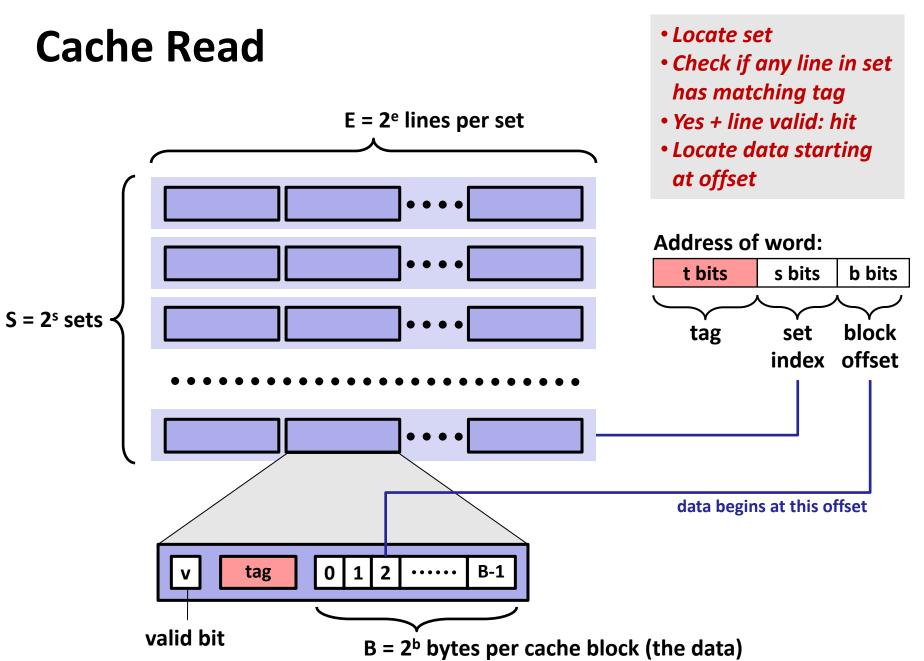
### **CPU** chip





# General Cache Organization (S, E, B)

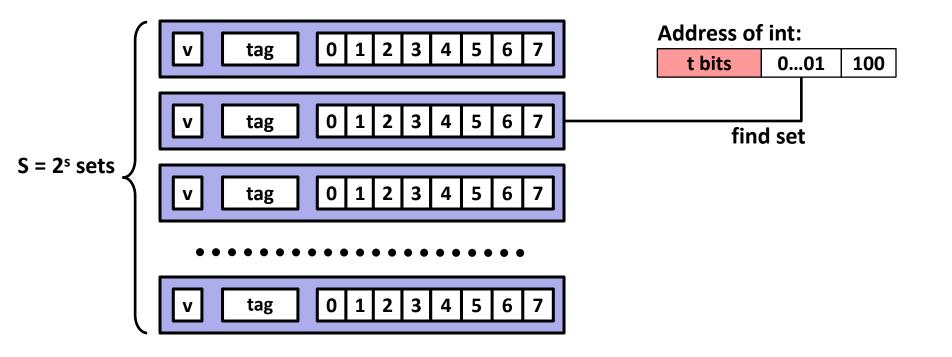




# **Example: Direct Mapped Cache (E = 1)**

Direct mapped: One line per set

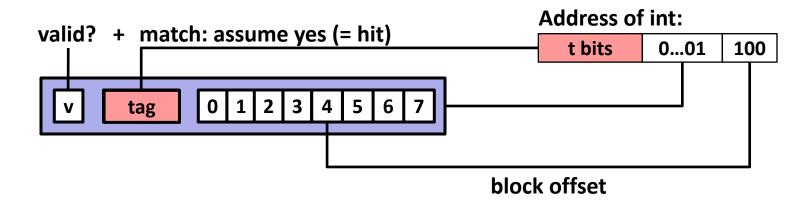
Assume: cache block size B=8 bytes



# **Example: Direct Mapped Cache (E = 1)**

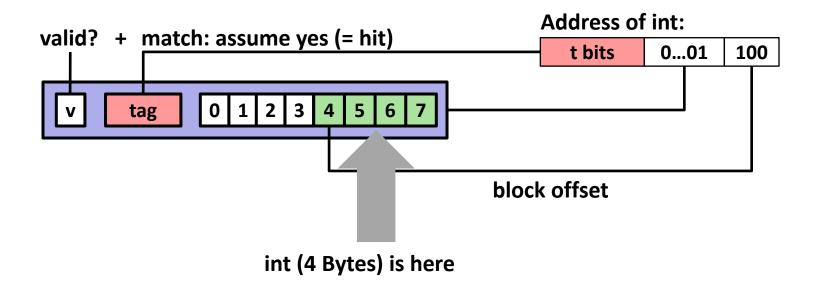
Direct mapped: One line per set

Assume: cache block size B=8 bytes



# **Example: Direct Mapped Cache (E = 1)**

Direct mapped: One line per set
Assume: cache block size B=8 bytes



If tag doesn't match (= miss): old line is evicted and replaced

# **Direct-Mapped Cache Simulation**

t=1	s=2	b=1
X	XX	X

4-bit addresses (address space size M=16 bytes) S=4 sets, E=1 Blocks/set, B=2 bytes/block

Address trace (reads, one byte per read):

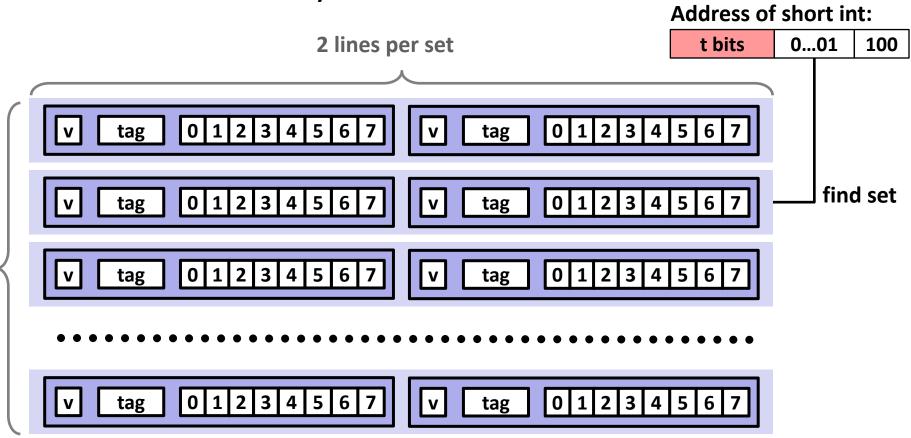
0	$[0000_2],$	miss
1	$[0001_2],$	hit
7	$[0111_2],$	miss
8	$[1000_2],$	miss
0	[00002]	miss

	V	Tag	Block
Set 0	1	0	M[0-1]
Set 1	0		
Set 2	0		
Set 3	1	0	M[6-7]

# E-way Set Associative Cache (Here: E = 2)

E = 2: Two lines per set

Assume: cache block size B=8 bytes



S sets

# E-way Set Associative Cache (Here: E = 2)

E = 2: Two lines per set

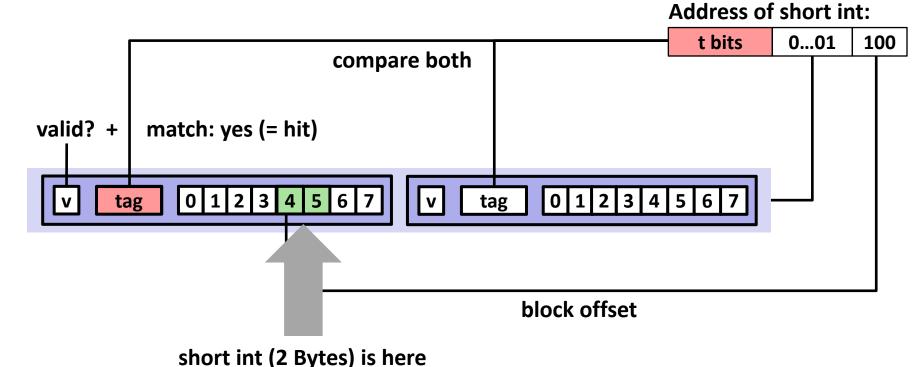
Assume: cache block size B=8 bytes

# Address of short int: t bits 0...01 100 valid? + match: yes (= hit) v tag 0 1 2 3 4 5 6 7 block offset

# E-way Set Associative Cache (Here: E = 2)

E = 2: Two lines per set

Assume: cache block size B=8 bytes



### No match or not valid (= miss):

- One line in set is selected for eviction and replacement
- Replacement policies: random, least recently used (LRU), ...

# 2-Way Set Associative Cache Simulation

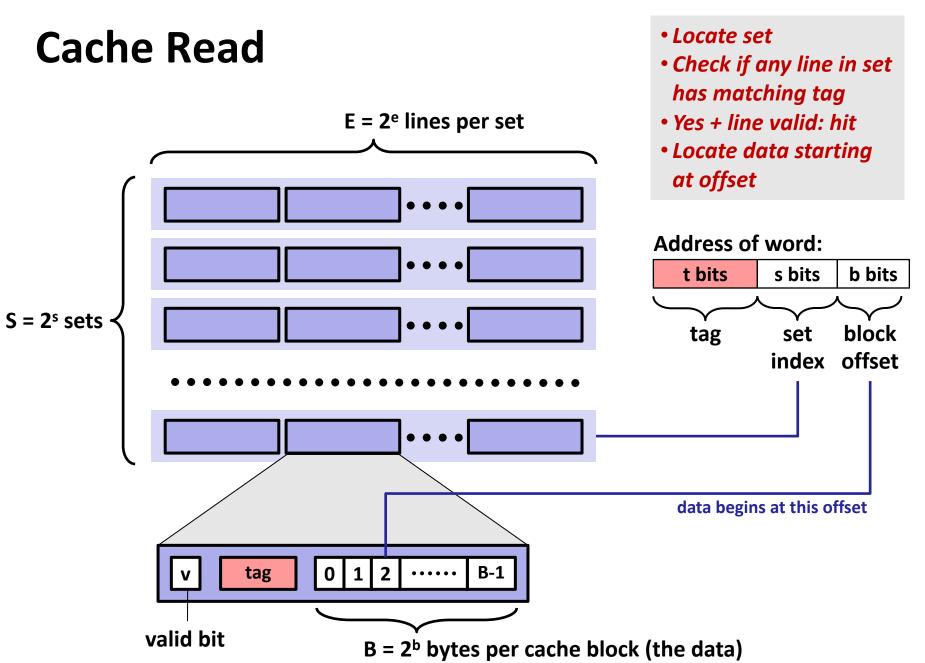
t=2	s=1	b=1
XX	X	Х

4-bit addresses (M=16 bytes) S=2 sets, E=2 blocks/set, B=2 bytes/block

Address trace (reads, one byte per read):

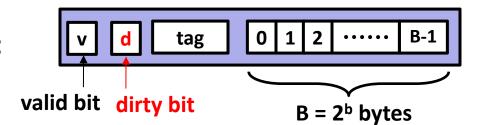
0	$[00\underline{0}0_2],$	miss
1	$[0001_2],$	hit
7	$[01\underline{1}1_2],$	miss
8	$[1000_2],$	miss
0	$[0000_{2}]$	hit

	V	Tag	Block
Set 0	1	00	M[0-1]
	1	10	M[8-9]
Set 1	1	01	M[6-7]
	0		



# What about writes?

- Multiple copies of data exist:
  - L1, L2, L3, Main Memory, Disk



### What to do on a write-hit?

- Write-through (write immediately to memory)
- Write-back (defer write to memory until replacement of line)
  - Needs a dirty bit (set if data has been written to)

### What to do on a write-miss?

- Write-allocate (load into cache, update line in cache)
  - Good if more writes to the location will follow
- No-write-allocate (writes straight to memory, does not load into cache)

### Typical

- Write-through + No-write-allocate
- Write-back + Write-allocate

# **Practical Write-back Write-allocate**

- A write to address X is issued
- valid bit dirty bit  $B = 2^b$  bytes

- If it is a hit
  - Update the contents of block
  - Set dirty bit to 1 (bit is sticky and only cleared on eviction)

### If it is a miss

- Fetch block from memory (per a read miss)
- The perform the write operations (per a write hit)

### If a line is evicted and dirty bit is set to 1

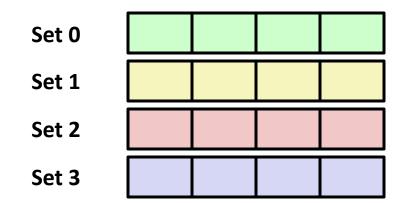
- The entire block of 2<sup>b</sup> bytes are written back to memory
- Dirty bit is cleared (set to 0)
- Line is replaced by new contents

# Why Index Using Middle Bits?

Direct mapped: One line per set Assume: cache block size 8 bytes **Standard Method:** Middle bit indexing Address of int: 3 tag t bits 0...01 100 5 3 tag find set  $S = 2^s$  sets 3 5 6 tag **Alternative Method: High bit indexing** Address of int: 1...11 t bits 100 2 3 5 6 1 tag find set

# Illustration of Indexing Approaches

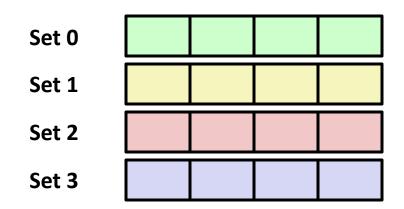
- 64-byte memory
  - 6-bit addresses
- 16 byte, direct-mapped cache
- Block size = 4. (Thus, 4 sets; why?)
- 2 bits tag, 2 bits index, 2 bits offset



		0000xx
		0001xx
		0010xx
		0011xx
		0100xx
		0101xx
		0110xx
		0111xx
		1000xx
		1001xx
		1010xx
		1011xx
		1100xx
		1101xx
		1110xx
		1111xx

# Middle Bit Indexing

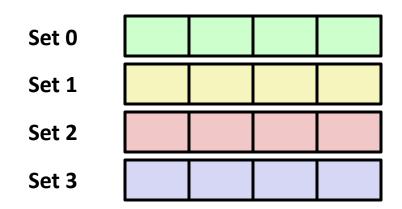
- Addresses of form TTSSBB
  - **TT** Tag bits
  - SS Set index bits
  - BB Offset bits
- Makes good use of spatial locality



<u> </u>	
	0000xx
	0001xx
	0010xx
	0011xx
	0100xx
	0101xx
	0110xx
	0111xx
	1000xx
	1001xx
	1010xx
	1011xx
	1100xx
	1101xx
	1110xx
	1111xx

# **High Bit Indexing**

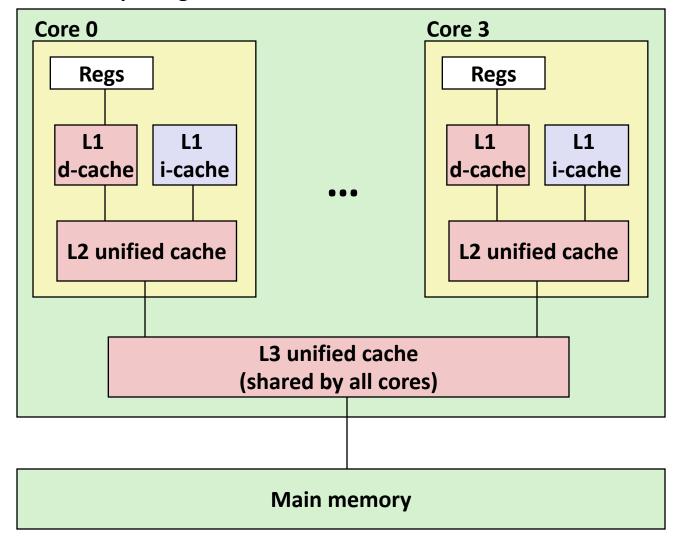
- Addresses of form SSTTBB
  - SS Set index bits
  - **TT** Tag bits
  - BB Offset bits
- Program with high spatial locality would generate lots of conflicts



0000xx
0001xx
0010xx
0011xx
0100xx
0101xx
0110xx
0111xx
1000xx
1001xx
1010xx
1011xx
1100xx
1101xx
1110xx
1111xx

# **Intel Core i7 Cache Hierarchy**

### **Processor package**



### L1 i-cache and d-cache:

32 KB, 8-way,

Access: 4 cycles

### L2 unified cache:

256 KB, 8-way,

Access: 10 cycles

### L3 unified cache:

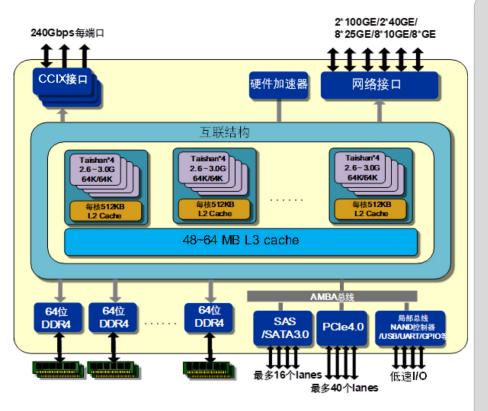
8 MB, 16-way,

Access: 40-75 cycles

**Block size**: 64 bytes for

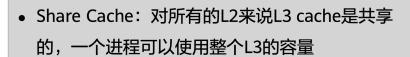
all caches.

# **Kunpeng 920 Cache Hierarchy**



- 集成最多64×自研核
  - □ 指令集兼容ARMv8.2, 最高主频达3.0GHz
  - □ 每核集成64KB L1 I/D缓存
  - 每核独享512KB L2缓存,单芯片共享48-64MB L3缓存
- 8×DDR4控制器@2933MT/s
- 集成PCI-e/SAS接口
  - 支持PCI-e 4.0,向下兼容PCI-e3.0/2.0/1.0
  - 支持x16,x8,x4,x2,x1 PCI-e 4.0, 集成20PCI-e控制器
  - □ 支持16×SAS/SATA 3.0控制器
- 支持CCIX接口,支持加速器的缓存一致性
- 支持2×100G RoCE v2, 支持 25GE/50GE/100GE标准NIC
- 支持2P/4P扩展
- 封装大小: 60mm×75mm

# **Kunpeng 920 Cache Hierarchy**



L2 L2 ... L2 L2 L2 L2 L3

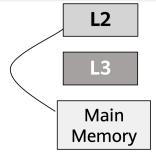
 Private Cache: 有N个Private的L3,每个Private L3只缓存对应的L2的数据。即一个进程只能使用 对应的部分L3的容量,无法使用全部L3的容量, L3和L3之间不通信

L2 L2 L2 ... L2 L2 L2 L2 L3 ... L3

 Partitioned Cache: 与Private相同的是,一个进程 只能使用对应的部分L3容量;与Private不同的是, L3细分为一个Home的L3和N个Remote的L3,Home 的L3类似L4,所以L3和L3之间会通信,由Home的 L3来维护多个Partitioned L3之间的一致性



 Non-inclusive L3: 支持Non-inclusive模式, Memory和L2间直接数据访问



# **Cache Performance Metrics**

### Miss Rate

- Fraction of memory references not found in cache (misses / accesses)
   = 1 hit rate
- Typical numbers (in percentages):
  - 3-10% for L1
  - can be quite small (e.g., < 1%) for L2, depending on size, etc.</li>

### Hit Time

- Time to deliver a line in the cache to the processor
  - includes time to determine whether the line is in the cache
- Typical numbers:
  - 4 clock cycle for L1
  - 10 clock cycles for L2

### Miss Penalty

- Additional time required because of a miss
  - typically 50-200 cycles for main memory (Trend: increasing!)

# Let's think about those numbers

- Huge difference between a hit and a miss
  - Could be 100x, if just L1 and main memory
- Would you believe 99% hits is twice as good as 97%?
  - Consider this simplified example: cache hit time of 1 cycle miss penalty of 100 cycles
  - Average access time:

```
97% hits: 1 cycle + 0.03 \times 100 cycles = 4 cycles
```

99% hits: 1 cycle + 0.01 x 100 cycles = 2 cycles

This is why "miss rate" is used instead of "hit rate"

# **Writing Cache Friendly Code**

- Make the common case go fast
  - Focus on the inner loops of the core functions
- Minimize the misses in the inner loops
  - Repeated references to variables are good (temporal locality)
  - Stride-1 reference patterns are good (spatial locality)

# **Today**

- Cache organization and operation
- Performance impact of caches
  - The memory mountain
  - Rearranging loops to improve spatial locality
  - Using blocking to improve temporal locality

# **The Memory Mountain**

- Read throughput (read bandwidth)
  - Number of bytes read from memory per second (MB/s)
- Memory mountain: Measured read throughput as a function of spatial and temporal locality.
  - Compact way to characterize memory system performance.

# **Memory Mountain Test Function**

```
long data[MAXELEMS]; /* Global array to traverse */
/* test - Iterate over first "elems" elements of
          array "data" with stride of "stride",
          using 4x4 loop unrolling.
 */
int test(int elems, int stride) {
    long i, sx2=stride*2, sx3=stride*3, sx4=stride*4;
    long acc0 = 0, acc1 = 0, acc2 = 0, acc3 = 0;
    long length = elems, limit = length - sx4;
    /* Combine 4 elements at a time */
    for (i = 0; i < limit; i += sx4) {</pre>
        acc0 = acc0 + data[i];
        acc1 = acc1 + data[i+stride];
        acc2 = acc2 + data[i+sx2];
        acc3 = acc3 + data[i+sx3];
    }
    /* Finish any remaining elements */
    for (; i < length; i++) {</pre>
        acc0 = acc0 + data[i];
    return ((acc0 + acc1) + (acc2 + acc3));
                               mountain/mountain.c
```

Call test() with many combinations of elems and stride.

For each elems and stride:

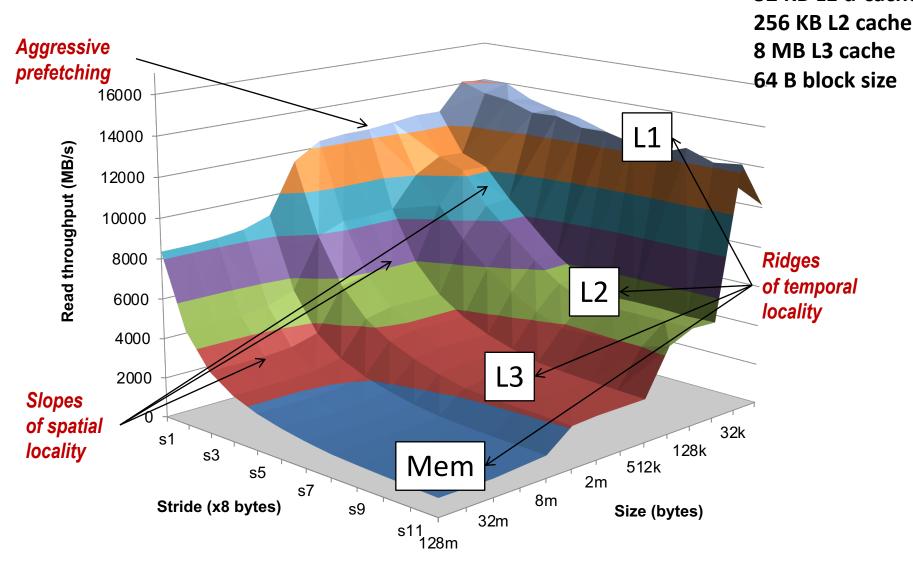
- 1. Call test() once to warm up the caches.
- 2. Call test() again and measure the read throughput(MB/s)

Core i7 Haswell

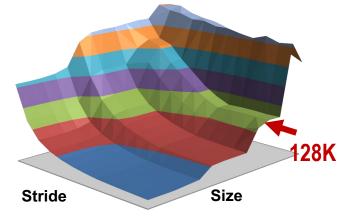
32 KB L1 d-cache

2.1 GHz

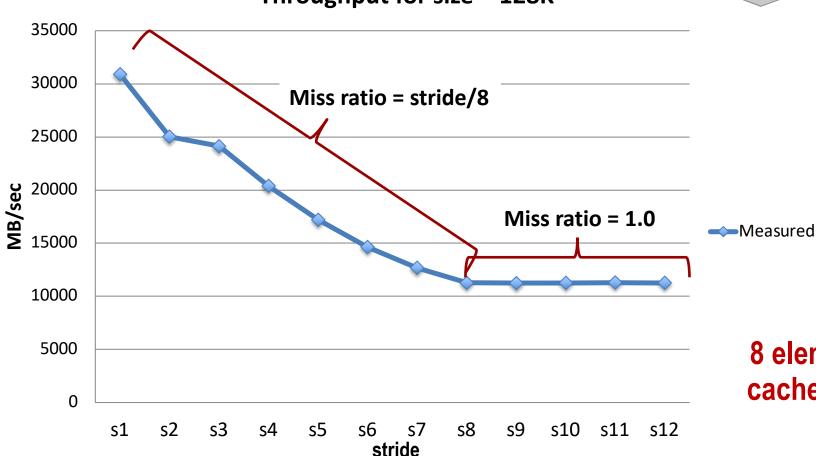
# **The Memory Mountain**



# **Closer Look at Stride Effects**





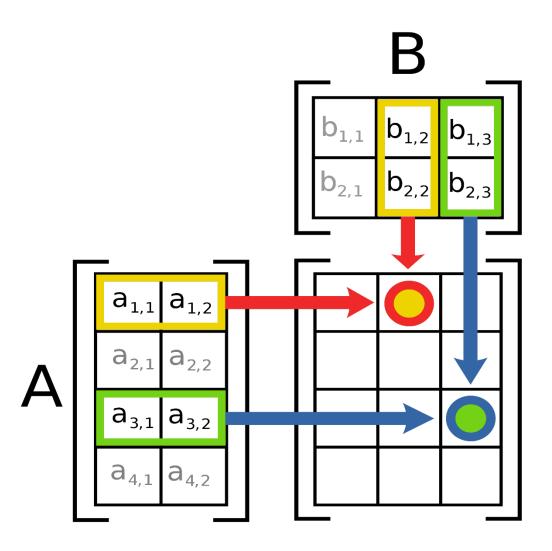


8 elems per cache block

# **Today**

- Cache organization and operation
- Performance impact of caches
  - The memory mountain
  - Rearranging loops to improve spatial locality
  - Using blocking to improve temporal locality

# Remember matrix multiplication



# **Matrix Multiplication Example**

### Description:

- Multiply N x N matrices
- Matrix elements are doubles (8 bytes)
- $O(N^3)$  total operations
- N reads per source element
- N values summed per destination
  - but may be able to hold in register

```
/* ijk */
for (i=0; i<n; i++) {
  for (j=0; j<n; j++) {
    sum = 0.0;
    for (k=0; k<n; k++)
       sum += a[i][k] * b[k][j];
    c[i][j] = sum;
  }
}
matmult/mm.c</pre>
```

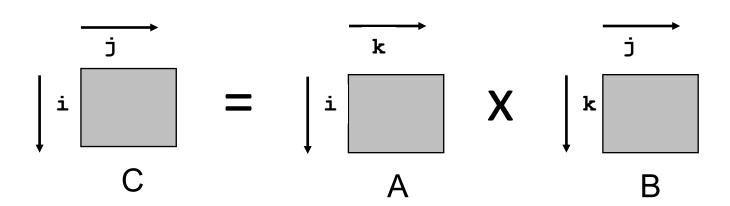
# Miss Rate Analysis for Matrix Multiply

### Assume:

- Block size = 32B (big enough for four doubles)
- Matrix dimension (N) is very large
  - Approximate 1/N as 0.0
- Cache is not even big enough to hold multiple rows

### Analysis Method:

Look at access pattern of inner loop



# Layout of C Arrays in Memory (review)

- C arrays allocated in row-major order
  - each row in contiguous memory locations
  - a[i][j] = a[i\*N + j] where N is the number of columns
- Stepping through columns in one row:

```
for (i = 0; i < N; i++)
sum += a[0][i];</pre>
```

- accesses successive elements
- if block size (B) > sizeof(a<sub>ii</sub>) bytes, exploit spatial locality
  - miss rate = sizeof(a<sub>ii</sub>) / B

### Stepping through rows in one column:

```
for (i = 0; i < n; i++)
sum += a[i][0];</pre>
```

- accesses distant elements
- no spatial locality!
  - miss rate = 1 (i.e. 100%)

# Matrix Multiplication (ijk)

```
/* ijk */
for (i=0; i<n; i++) {
  for (j=0; j<n; j++) {
    sum = 0.0;
    for (k=0; k<n; k++)
       sum += a[i][k] * b[k][j];
    c[i][j] = sum;
  }
}
matmult/mm.c</pre>
```

```
Inner loop:

(*,j)

(i,*)

B

C

†

Row-wise Column-
wise
```

### Miss rate for inner loop iterations:

<u>A</u>

<u>B</u>

<u>C</u>

## Matrix Multiplication (ijk)

```
/* ijk */
for (i=0; i<n; i++) {
  for (j=0; j<n; j++) {
    sum = 0.0;
    for (k=0; k<n; k++)
       sum += a[i][k] * b[k][j];
    c[i][j] = sum;
  }
}
matmult/mm.c</pre>
```

```
Inner loop:

(*,j)

(i,*)

B

C

†

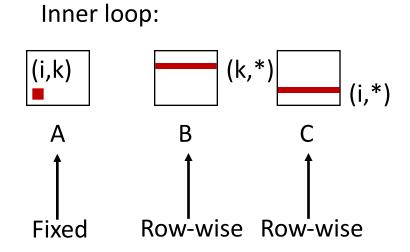
Row-wise Column-
wise
```

### Miss rate for inner loop iterations:

<u>A</u> <u>B</u> <u>C</u> 0.25 1.0 0.0

# Matrix Multiplication (kij)

```
/* kij */
for (k=0; k<n; k++) {
  for (i=0; i<n; i++) {
    r = a[i][k];
    for (j=0; j<n; j++)
        c[i][j] += r * b[k][j];
  }
}
matmult/mm.c</pre>
```



Miss rate for inner loop iterations:

<u>A</u>

<u>B</u>

<u>C</u>

# Matrix Multiplication (kij)

```
/* kij */
for (k=0; k<n; k++) {
  for (i=0; i<n; i++) {
    r = a[i][k];
    for (j=0; j<n; j++)
        c[i][j] += r * b[k][j];
  }
}
    matmult/mm.c</pre>
```

```
Inner loop:

(i,k)

A

B

C

†

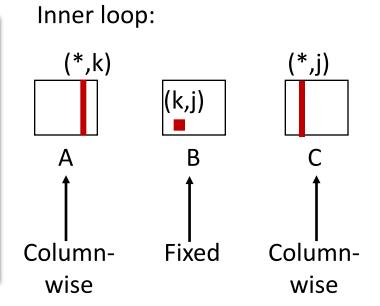
Row-wise Row-wise
```

### Miss rate for inner loop iterations:

<u>A</u> <u>B</u> <u>C</u> 0.0 0.25 0.25

# Matrix Multiplication (jki)

```
/* jki */
for (j=0; j<n; j++) {
  for (k=0; k<n; k++) {
    r = b[k][j];
    for (i=0; i<n; i++)
        c[i][j] += a[i][k] * r;
  }
}
    matmult/mm.c</pre>
```



Miss rate for inner loop iterations:

<u>A</u>

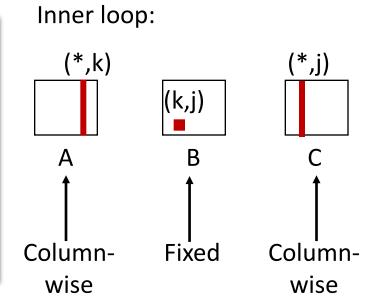
<u>B</u>

<u>C</u>

# Matrix Multiplication (jki)

```
/* jki */
for (j=0; j<n; j++) {
  for (k=0; k<n; k++) {
    r = b[k][j];
    for (i=0; i<n; i++)
        c[i][j] += a[i][k] * r;
  }
}

matmult/mm.c</pre>
```



### Miss rate for inner loop iterations:

<u>A</u> <u>B</u> <u>C</u> 1.0 0.0 1.0

### **Summary of Matrix Multiplication**

```
for (i=0; i<n; i++) {
  for (j=0; j<n; j++) {
    sum = 0.0;
  for (k=0; k<n; k++)
    sum += a[i][k] * b[k][j];
  c[i][j] = sum;
}
}</pre>
```

```
for (k=0; k<n; k++) {
  for (i=0; i<n; i++) {
    r = a[i][k];
  for (j=0; j<n; j++)
    c[i][j] += r * b[k][j];
}</pre>
```

```
for (j=0; j<n; j++) {
  for (k=0; k<n; k++) {
    r = b[k][j];
    for (i=0; i<n; i++)
      c[i][j] += a[i][k] * r;
  }
}</pre>
```

### ijk (& jik):

- 2 loads, 0 stores
- avg misses/iter = **1.25**

### kij (& ikj):

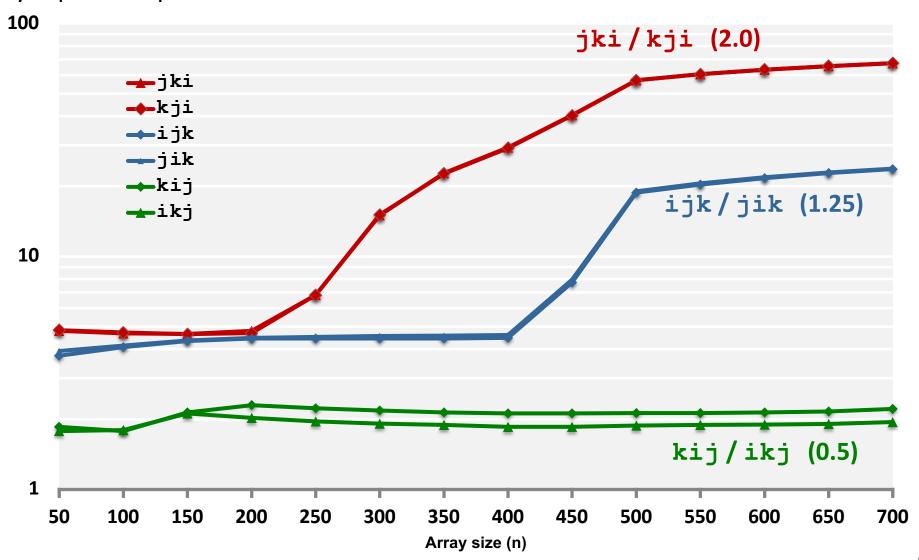
- 2 loads, 1 store
- avg misses/iter = **0.5**

### jki (& kji):

- 2 loads, 1 store
- avg misses/iter = 2.0

### **Core i7 Matrix Multiply Performance**

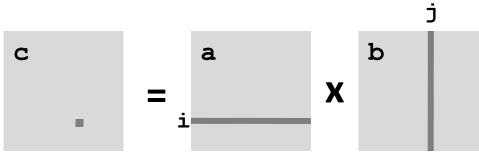
Cycles per inner loop iteration



# **Today**

- Cache organization and operation
- Performance impact of caches
  - The memory mountain
  - Rearranging loops to improve spatial locality
  - Using blocking to improve temporal locality

### **Example: Matrix Multiplication**



n

## **Cache Miss Analysis**

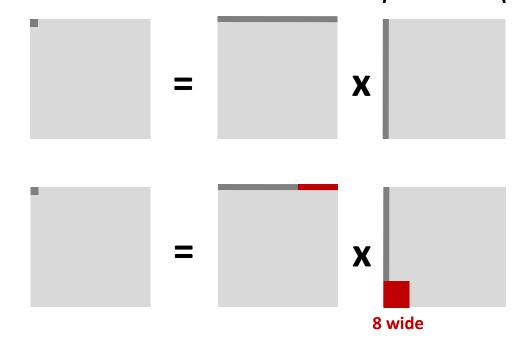
#### Assume:

- Matrix elements are doubles
- Cache block = 8 doubles
- Cache size C << n (much smaller than n)</li>

### First iteration:

• n/8 + n = 9n/8 misses

Afterwards in cache: (schematic)



n

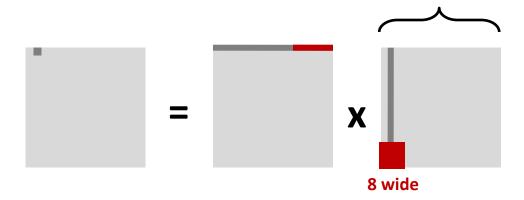
## **Cache Miss Analysis**

#### Assume:

- Matrix elements are doubles
- Cache block = 8 doubles
- Cache size C << n (much smaller than n)</li>

#### Second iteration:

• Again: n/8 + n = 9n/8 misses

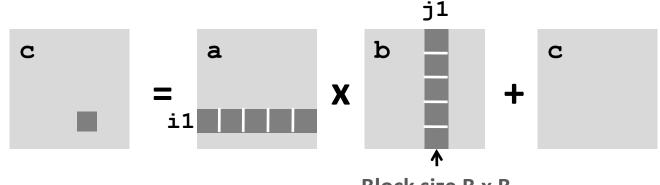


#### Total misses:

 $9n/8 n^2 = (9/8) n^3$ 

## **Blocked Matrix Multiplication**

```
c = (double *) calloc(sizeof(double), n*n);
/* Multiply n x n matrices a and b */
void mmm(double *a, double *b, double *c, int n) {
    int i, j, k;
    for (i = 0; i < n; i+=B)
       for (j = 0; j < n; j+=B)
             for (k = 0; k < n; k+=B)
                /* B x B mini matrix multiplications */
                  for (i1 = i; i1 < i+B; i1++)
                      for (j1 = j; j1 < j+B; j1++)
                          for (k1 = k; k1 < k+B; k1++)
                              c[i1*n+j1] += a[i1*n + k1]*b[k1*n + j1];
                                                         matmult/bmm.c
```



n/B blocks

## **Cache Miss Analysis**

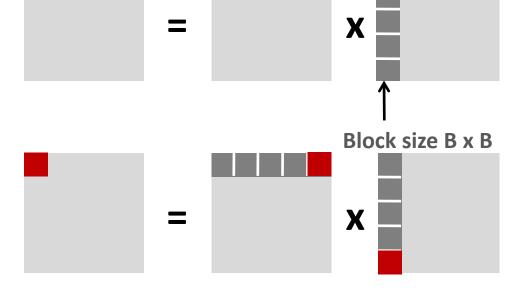
#### Assume:

- Cache block = 8 doubles
- Cache size C << n (much smaller than n)</li>
- Three blocks fit into cache: 3B<sup>2</sup> < C</p>

### First (block) iteration:

- B\*B/8 misses for each block
- $2n/B \times B^2/8 = nB/4$  (omitting matrix c)

Afterwards in cache (schematic)



n/B blocks

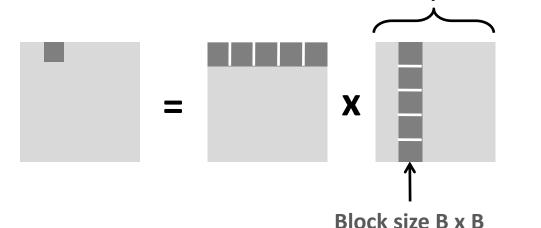
### **Cache Miss Analysis**

#### Assume:

- Cache block = 8 doubles
- Cache size C << n (much smaller than n)</li>
- Three blocks fit into cache: 3B<sup>2</sup> < C</p>

### Second (block) iteration:

- Same as first iteration
- $2n/B \times B^2/8 = nB/4$



#### Total misses:

•  $nB/4 * (n/B)^2 = n^3/(4B)$ 

## **Blocking Summary**

- No blocking: (9/8) n³ misses
- Blocking:  $(1/(4B)) n^3$  misses
- Use largest block size B, such that B satisfies 3B<sup>2</sup> < C
  - Fit three blocks in cache! Two input, one output.
- Reason for dramatic difference:
  - Matrix multiplication has inherent temporal locality:
    - Input data:  $3n^2$ , computation  $2n^3$
    - Every array elements used O(n) times!
  - But program has to be written properly

## **Cache Summary**

Cache memories can have significant performance impact

### You can write your programs to exploit this!

- Focus on the inner loops, where bulk of computations and memory accesses occur.
- Try to maximize spatial locality by reading data objects sequentially with stride 1.
- Try to maximize temporal locality by using a data object as often as possible once it's read from memory.